

Initial Health Assessment (IHA) Requirements

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QUALITY IMPROVEMENT MANAGER, FACILITY SITE AND MEDICAL
RECORD REVIEW

Initial Health Assessment (IHA) Requirements

Per DHCS Plan Letters 08-003 and 13-001, all new Medicaid members must have IHA completed within 120 days from plan enrollment.

At a minimum, the IHA must include:

- Physical, social or mental health histories.
- Age-appropriate preventive care services *following American Academy of Pediatrics and U.S. Preventive Services Task Force guidelines. See Resources slide.*
- Physical examination.
- Completion of the age-appropriate Staying Healthy Assessment (SHA) form or Department of Health Care Services (DHCS) approved Individual Health Education Behavioral Assessment (IHEBA).

All elements must be documented in the patient's medical record.

The Plan identifies IHA completion based on claims and encounters received, therefore timely and accurate submission of codes is necessary.

Requirements to the Staying Healthy Assessment Form...

State of California - Health and Human Services Agency
Department of Health Care Services

Staying Healthy Assessment

0 - 6 Months

Child's Name (first & last)	Date of Birth	<input type="checkbox"/> Female <input type="checkbox"/> Male	Today's Date	In Child/Day Care? <input type="checkbox"/> Yes <input type="checkbox"/> No
Person Completing Form		<input type="checkbox"/> Parent <input type="checkbox"/> Relative <input type="checkbox"/> Friend <input type="checkbox"/> Guardian <input type="checkbox"/> Other (Specify)	Need Help with Form? <input type="checkbox"/> Yes <input type="checkbox"/> No	

Please answer all the questions on this form as best you can. Circle "Skip" if you do not know an answer or do not wish to answer. Be sure to talk to the doctor if you have questions about anything on this form. Your answers will be protected as part of your medical record.

Need Interpreter? <input type="checkbox"/> Yes <input type="checkbox"/> No				
Clinic Use Only:				
Nutrition				
1	Do you breastfeed your baby?	Yes	No	Skip
Physical Activity				
2	Are you concerned about your baby's weight?	No	Yes	Skip
3	Does your baby watch any TV?	No	Yes	Skip
Safety				
4	Does your home have a working smoke detector?	Yes	No	Skip
5	Have you turned your water temperature down to low-warm (less than 120 degrees)?	Yes	No	Skip
6	If your home has more than one floor, do you have safety guards on the windows and gates for the stairs?	Yes	No	Skip
7	Does your home have cleaning supplies, medicines, and matches locked away?	Yes	No	Skip
8	Does your home have a carbon monoxide detector?			
9	Do you always use your seat belt?			
10	Do you always use your seat belt when driving?			

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Include the completed SHA form in the members medical record.

Contact the Health Education Department at 1-800-804-6074 if you plan to use an alternate form (ie. electronic format).

Administer the SHA again when member reaches a new age group.

The adult or senior SHA must be re-administered every 3-to-5 years, at a minimum and should be reviewed annually.

Age-specific SHA forms are available to download from the www.dhcs.ca.gov website (Refer to the IHA Resources slide).

Clinic Use Only	Counseled	Referred	Anticipatory Guidance	Follow-up Ordered	Comments:
<input type="checkbox"/> Nutrition	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Physical Activity	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Safety	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Dental Health	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Tobacco Exposure	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	<input type="checkbox"/>	
<input type="checkbox"/> Patient Declined the SHA					
PCP's Signature:		Print Name:		Date:	

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Mark check box if patient refuses to complete the SHA

Initial Health Assessment Requirements continued...

Exceptions to IHA Requirements:

All elements of the IHA were completed within 12 months prior to the effective date of enrollment.

Providers with established patient can incorporate existing physical and mental health history to the IHA requirement but must conduct an updated physical exam if one was not completed within the last 12 months.

Missed scheduled appointments with evidence of:

- two additional attempts to reschedule via mail or by telephone,
- provider attempts to update members contact information, and
- provider attempts to perform the IHA past the 120 day requirement until the IHA is completed

All exceptions from the IHA requirements must be documented in the member's medical record including all notes on member contacts, outreach attempts, appointment scheduling, or the member's refusal to schedule an appointment.

Initial Health Assessment Requirements continued...

How we meet and support the requirements

Plan Minimum Requirements

- Member outreaches: notification and reminders to complete IHA within 120 days from plan enrollment
- Provider Training
 - On adequate documentation of IHAs or reasons IHAs not completed
 - Timelines for performing IHAs

Supporting the Requirements

- Provider education
 - Medi-Cal Operations Guide/Manual
 - Non-compliant facility site medical record review IHA letter
- Member enrollment notification to providers
- Health Net member IHA gap lists online for providers

Facility Site Review and Medical Record Review Updates to be implemented 7/1/2020

DHCS Facility Site and Medical Record review tools and guidelines have been updated to align with:

- ✓ Applicable state and federal regulations
- ✓ Current clinical guidelines and contractual requirements
- ✓ Other state programs that have site review requirements.

Examples of current clinical guidelines:

- American Academy of Pediatrics(AAP) including Bright Futures
- USPSTF(United States Preventive Services Task Force)

Impact:

- Potential for Audit time onsite to be longer
- Significant new criteria added to both Facility Site and Medical Record Review audits
- Changes may need to be made to EMR systems to comply with new requirements

Action to take now:

- Review current clinic or site operation to ensure compliance with applicable clinical practice guidelines
- Evaluate and adopt EMR system changes necessary to comply with clinical practice guidelines
- Proactively educate providers on new required documentation of criteria to avoid failed audits and CAPs

Initial Health Assessment, Staying Healthy Assessment & Preventive Care Guidelines and Resources

1. DHCS Plan Letters 08-003 and 13-001.
www.dhcs.ca.gov/formsandpubs/Pages/MgdCarePlanPolicyLtrs.aspx
2. Staying Healthy Assessment Forms are obtain via the DHCS webpage:
<https://www.dhcs.ca.gov/formsandpubs/forms/pages/stayinghealthy.aspx#>
3. Bright Futures/American Academy of Pediatrics (AAP) *Recommendations for Preventive Pediatric Health Care* www.aap.org/periodicityschedule.
4. Bright Futures/American Academy of Pediatrics (AAP) Bright Futures *Coding for Pediatric Preventive Care, 2019*. https://www.aap.org/en-us/documents/coding_preventive_care.pdf
5. USPSTF A and B Recommendations. U.S. Preventive Services Task Force. February 2019.
<https://www.uspreventiveservicestaskforce.org/Page/Name/uspstf-a-and-b-recommendations>
6. American College of Obstetrics and Gynecology (ACOG) standards
7. Comprehensive Perinatal Services Program (CPSP) standards per Title 22, CCR, Section 51348
8. California Immunization Registry (CAIR2): www.cairweb.org

Questions?

Health Net, Cal Viva Health and California Health & Wellness Plans:

Contact the QI Specialist via email Tuyen.t.pham@centene.com.